

APPENDIX 5.22(a-d) – SUMMARY OF PERFORMANCE-LINKED INDICATORS

APPENDIX 5.22(a) - SUMMARY OF PERFORMANCE-LINKED METRICS

#	<u>Metric</u>	<u>Numerator</u>	<u>Denominator</u>	<u>Minimum:</u> <u>Year 1 – 85%</u> <u>Year 2 – 90%</u> <u>Year 3 – 95%</u>	<u>Maximum:</u> <u>Year 1 – 100%</u> <u>Year 2 – 100%</u> <u>Year 3 – 100%</u>
1	Pharmacy List/Med List Verified and Received from Community Pharmacy/Doctor	Number of inmates whose med list was received within 4 hours of admission Monday-Saturday 9am-8pm, or 24 hours outside of that timeframe.	Number of inmates who had verifiable medication lists from community pharmacy/ doctors.	85%	100%
2	Care Coordination – Reentry Planning	Upon discharge, number of patients from the denominator whose records were shared electronically with a PCMH upon discharge.	Number of patients with a serious medical or MH diagnosis whose discharge was verified by DOC Discharge Data and report on electronic file-sharing activities by date and patient.	85%	100%
3	MH/SA Reentry Planning	Number of individuals from the denominator who were referred to PCMH (FQHC or DA) community-based MH/SA treatment upon re-entry.	Total number of individuals with a MH/SA + on SBIRT diagnosis who re-entered the community.	85%	100%
4	Insurance Enrollment At Discharge (but process begins at booking)	Number of individuals enrolled into Medicaid or an exchange-purchased policy upon discharge from correctional facilities.	Number of individuals discharged from correctional facilities, excluding those already enrolled or otherwise insured, and excluding those who refused enrollment.	85%	100%
5	Follow-Up After Hospitalization for Mental Illness – 24-48 hours	Number of individuals in denominator who received a follow-up session with a MH provider within 24-48 hours.	Number of patients who returned to the DOC facility following an in-patient hospital stay or emergency room encounter for a primary mental health diagnosis.	85%	100%
6	Initial Health Assessment completed within 7 days after admission	Total number of Initial Health Assessments completed within 7 days of admission. Or Reviews if released and readmitted within 90 days.	Total number of admissions to DOC facilities in the past 30 days.	85%	100%

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7	Medical Assistance With Smoking and Tobacco Use Cessation – Advising Smokers and Tobacco Users to Quit	Patients in the denominator group who have been 1) advised to stop smoking, or 2) offered assistance with tobacco cessation.	Patients who have been in custody for more than 4 7 days and have self-reported use of tobacco at the initial nurse health intake assessment.	85%	100%
8	MH screening completed within 4 hours of admission	Number of patients from the denominator who received an initial mental health screening within 4 hours of prison admission.	Total number of patients admitted.	85%	100%
9	Adult BMI Assessment	Number of charts in denominator group with the BMI recorded.	Number of H&Ps performed during the month.	85%	100%
10	Non-Urgent Sick Calls for MH, Medical, and Dental Seen Within 48 hours (M-F) or 72 hours (S-S)	Total Non-Urgent Sick Calls (that describe a clinical symptom) that are Seen Within 48 hours (M-F) or 72 hours (S-S).	Total number of Non-Urgent Sick Calls (that describe a clinical symptom) Seen.	85%	85%
11	Chest Pain	Number of patients from the denominator for whom an EKG was obtained and reviewed	Total number of patients with chest pain	85%	100%
12	Controlling High Blood Pressure	The number of patients in the denominator whose most recent blood pressure (BP) is adequately controlled (BP less than 140/90 mm Hg) and monitored in Chronic Disease Clinic every 90 days or less	Number of Patients in custody who have elevated blood pressure for which medication is prescribed.	85%	100%
13	Routine Preventative Dental – Annual Cleaning/Exam	Number of preventative cleanings/exams completed on the denominator.	Number of patients in custody who had not received an annual cleaning/exam within the last year or more.	85%	100%

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14	Comprehensive Diabetes Care – Eye Exam	Number from the denominator who received a documented retinal or dilated eye exam by an eye care professional (optometrist or ophthalmologist) in the past 12 months.	Patients with Diabetes on insulin or oral medication who have been in custody more than 6 months.	85%	100%
15	Comprehensive Diabetes Care – HbA1c Testing (see special notes)	HbA1c has been obtained and results have been discussed with the patients in the denominator group at least once.	Patients with Diabetes on insulin or oral medication who have been in custody 2 months or more.	85%	100%
16	Comprehensive Diabetes Care – HbA1c Poor Control (<9.0%)	HbA1C result has been repeated on the denominator group at least one time and has been below 9.0% on the most recent test.	Patients with Diabetes on insulin or oral medication who have been in custody more than 6 months.	85%	100%
17	Comprehensive Diabetes Care – LDL Screening	A LDL cholesterol level is recorded in the chart of the denominator group and, when indicated, a plan of treatment has been discussed with the patient with a follow-up test scheduled within 90 days.	Diabetic patients who have been in custody for 2 months.	85%	100%
18	Continuity of Care – Patient seen for follow-up after off-site visit	Number of patients from the denominator who were seen for a follow-up appointment after an off-site visit.	Number of patients who received an off-site service.	85%	100%
19	Diabetes – Offloading	Number of patients from the denominator who were prescribed an appropriate method of offloading (pressure relief) within the 12 month reporting period	Total number of patients age 18 and older with a diagnosis of diabetes and foot ulcer- in custody >= 12 months	85%	100%

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20	Use of Imaging Studies for Low Back Pain	Patients with a primary diagnosis of low back pain who did not have an imaging study (plain x-ray, MRI, CT scan) within 28 days of the diagnosis.	Patients with a primary diagnosis of low back pain.	85%	100%
21	Chlamydia Screening in Women – Total Rate	Number of patients in the denominator screened for Chlamydia.	Number of females admitted to the correctional facility who received an initial health assessment.	85%	100%
22	Avoidance of Antibiotic Treatment in Adults with Acute Bronchitis	Number of patients in the denominator not treated with antibiotics.	Number of patients diagnosed with bronchitis (and no other infections disease that would require antibiotics).	85%	100%
23	Psychopharmacology – Bipolar	Number of patients from the denominator who have evidence of use of a mood stabilizing agent during the first 12 weeks of pharmacotherapy treatment during the measurement period	Number of patients ages 18 or older diagnosed by DOC or community-based MH staff with bipolar 1 disorder with symptoms of episodes that involve bipolar depression in custody for 4 weeks or more.	85%	100%
24	Psychopharmacology - Schizophrenia	Number of patients from the denominator whose medical record of the preceding 6 months provides documentation for the dosage used.	Total number of patients age 18 or older with a diagnosis of schizophrenia who are receiving antipsychotic medication at a dosage that is outside the recommended range (300 and 1,000 CPZ equivalents) at a specified point in time.	85%	100%
25	Psychiatric Medication Management	Number of patients from the denominator who had at least three contacts with a MH practitioner during the 84-day acute treatment phase during the measurement period	Number of patients in custody for 84 days or more who are diagnosed with new episode MDD and treated with an antidepressant medication during the measurement period	85%	100%

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26	Follow-up for Individuals Prescribed ADHD Medication – Initiation Phase	Number of patients from the denominator who had at least three follow-up (or visit) contacts with a practitioner with prescribing authority during the 84-day acute treatment phase during the measurement period	Number of patients in custody for 84 days or more who are diagnosed with ADD or ADHD and treated with a stimulant medication during the measurement period.	85%	100%
27	Follow-up for Individuals Prescribed ADHD Medication – Continuation and Maintenance Phase	Number of patients from the denominator group who had at least one follow-up visit with an APRN or Psychiatrist during the 90 day continuation phase during the measurement period and is reassessed every 90 days or less.	Number of patients in custody for 14 days or more who are diagnosed with ADD or ADHD and treated with a stimulant medication during the measurement period for at least 90 days.	85%	100%
28	Antidepressant Medication Management – Acute Phase	Number of patients from the denominator who had at least three follow-up (or visit) contacts with a MH practitioner (Psychiatrist, APRN) during the 84-day acute treatment phase during the measurement period.	Number of patients ages 18 and older who are diagnosed with new episode MDD and treated with an antidepressant medication during the measurement period.	85%	100%
29	Antidepressant Medication Management – Continuation Phase	Number of patients from the denominator group who had at least (one?) follow-up (or visit) contacts with a MH practitioner (Psychiatrist, APRN) during the 90 day continuation phase during the measurement period and is reassessed every 90 days or less.	Number of patients in custody for at least 90 days who are diagnosed with new episode MDD and treated with an antidepressant medication during the measurement period.	85%	100%

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30	Pharmacotherapy Management of COPD Exacerbation – Systemic Corticosteroid	Number of patients from the denominator who were prescribed equal to or greater than 40 mg prednisone equivalents by IV or PO for 5 days	Total number of patients with acute severe COPD exacerbation.	85%	100%
31	Asthma Management	Number of patients from the denominator who were prescribed equal to or greater than 40 mg prednisone equivalents by IV or PO for 5 days	Total number of patients with acute severe asthma exacerbation.	85%	100%
32	Use of Spirometry Testing in the Assessment and Diagnosis of COPD.	Number of patients from the denominator who had spirometry or peak flow results documented	Total number of patients age 18 and older with a diagnosis of COPD, asthma, or self-reported history of smoking.	85%	100%
33	Telemedicine – Psychiatry	Number from the denominator assessed by telemedicine rather than off-site.	Referrals for psychiatry assessments	85%	100%
34	Telemedicine – Dermatology	Number from the denominator assessed by telemedicine rather than off-site.	Referrals for dermatology assessments	85%	100%
35	Prenatal and Postpartum Care (Timeliness of Prenatal Care)	Deliveries that received a prenatal care visit in the first trimester or within 4 days of booking.	Number of deliveries.	85%	100%
36	Prenatal and Postpartum Care (Postpartum Care)	Number of deliveries that had a postpartum visit between 21 and 56 days after delivery.	Number of deliveries.	85%	100%
37	Breast Cancer Screening	Clinical breast exam screening or mammography performed in the denominator group.	Number of women receiving initial health assessments.	85%	100%

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38	Adverse Health Events	Number of adverse events, including death, in which a physician reviewed the patients' charts within 30 days of the event. The charts have documentation to support that the physician followed-up with treatment health/MH staff, as appropriate.	Number of adverse events.	85%	100%
39	Hospital Re-Admissions within 30 days	Number of individuals with unique discharge diagnoses in the denominator.	Total number of hospital discharges during the month.	85%	100%
40	Utilization – MH	Number of individuals from the denominator who received 1 hour/week or more of individual or group therapy.	Number of individuals diagnosed with a serious mental illness whose plan of care specified that the individual should receive 1 hour/week of individual or group therapy.	85%	100%
41	Utilization – SA services	Number of individuals from the denominator who received SBIRT, group, or individual SA treatment.	Number of individuals diagnosed by DOC or community provider with a substance use disorder.	85%	100%
42	Dental Screenings Completed within 30 days	Number of dental screenings completed on patients who were newly admitted. Within the 30 days following admission	Number of admissions in the past month.	85%	100%
43	Routine Meds Given Within 2 Hours*	Number of routine medication administrations given within 2 hours.	Number of routine medication administrations.	90%	100%
44	Urgent/Stat Meds Given Within 1 Hour*	Number of urgent/stat medication administrations given within 1 hour of request.	Number of urgent/stat medication administrations.	95%	100%

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45	Controlled Substance Diversion	Number of individuals from the denominator who received a random drug screening.	Number of individuals taking controlled substance for chronic pain.	85%	100%
46	Urgent Sick Calls Seen on Same Day	Urgent Sick Calls entered into the EMR, and seen on the same day.	Total number of Urgent Sick Calls	85%	100%
47	Use of Chronic Pain Treatment Agreement	Number of patients from the denominator who signed a Chronic Pain Treatment Agreement.	Number of patients taking an opioid for greater than 30 days.	85%	100%
48	Adherence to Act 75	Number of queries to the VPMS.	Number of patients in one or more of the circumstances described in Act 75, Section 11, §4289(d)(1-4), which states, “Health care providers shall query the VPMS with respect to an individual patient in the following circumstances: (1) at least annually for patients who are receiving ongoing treatment with an opioid Schedule II, III, or IV controlled substance; (2) when starting a patient on a Schedule II, III, or IV controlled substance for nonpalliative long-term pain therapy of 90 days or more; (3) the first time the provider prescribes an opioid Schedule II, III, or IV controlled substance written to treatment chronic pain; and (4) prior to writing a replacement prescription for a Schedule II, III, or IV controlled substance pursuant to section 4290 of this title.”	85%	100%
49	Transfer Screening – Qualified Health Care Professionals Review	Number of patients transferred to the facility whose health records were reviewed by the receiving facility within 12 hours of transfer.	Number of patients transferred to the facility within a 30 day period.	85%	100%

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50	Discharge Planning – Referrals	Number of patients with a communicable disease who were released from custody and who had a referral to a PCMH, CHT, or other community provider for follow-up care.	Number of patients with a communicable disease or serious medical or mental health condition who were released from custody.	85%	100%
51	Discharge Planning – Prescriptions	Number of inmates with prescriptions who re-entered the community with insurance coverage (Medicaid or other) and who received prescription(s) for all necessary medications to be filled at a pharmacy of their choosing.	Number of inmates with prescriptions who re-entered the community during the reporting month.	85%	100%
52	Non-emergency, Formal Grievances Resolved	Number of non-emergency grievances resolved within 20 business days.	Number of non-emergency grievances.	85%	100%
53	Emergency Grievances Resolved	Number of emergency grievances resolved within ten (10) calendar days.	Number of emergency grievances submitted.	85%	100%

* Metric #43 will have a minimum/maximum range of 90%/100% for Year 1 and 95%/100% for Years 2 and 3. Metric #44 will have a minimum/maximum range of 95%/100% for Years 1-3.

APPENDIX 5.22(b) – SUMMARY OF ADDITIONAL INCENTIVE PAYMENTS

#	<u>Additional Incentive Payment</u>	<u>Description of Incident</u>	<u>Incentive Amount</u>
1	Pregnant Women	Number of pregnant women who were evaluated within 24 hours of booking by a qualified healthcare provider.	\$250 incentive payment/incident
2	Restraint Policy	Number of inmates in restraints chair beyond 8 hours who were seen by a psychiatrist or APRN within 8-9 hours of initiation of the restraint. The contact must be documented, with a timestamp, in the inmates' health record.	\$250 incentive payment/incident

APPENDIX 5.22(c) – SUMMARY OF HOLDBACKS

#	<u>Description of Holdback</u>	<u>Holdback Not Retained When...</u>	<u>Holdback May Be Retained or Released When...</u>
1	The contractor shall complete all of the reporting requirements within 15 days of the close of the reporting month, as specified in Section 2.5 “Administrative Meetings, Reports, and Claims Processing”	The contractor has satisfactorily completed all reports specified in Section 2.5.	The contractor has not completed all reported specified in Section 2.5. The DOC may retain 5% of the contractor’s total monthly invoice. The holdback shall be released once the contractor has adequately completed the reporting requirements specified in Section 2.5

APPENDIX 5.22(d) - SUMMARY OF LIQUIDATED DAMAGES

<u>#</u>	<u>Liquidated Damage</u>	<u>Description of the Incident</u>	<u>Liquidated Damages Assessed</u>
1	Inmate Grievances	Number of grievances from the denominator reaching the level of the DOC Central Office Health Services Division or DOC Commissioner that are determined to be “FOUNDED.”	Varies based on the “actual damages” incurred.